

Patent Application Transmittal  
(only for new nonprovisional applications under 37 C.F.R. 1.53(b))  
Correspondence Address:  
FROMMER LAWRENCE & HAUG LLP  
745 FIFTH AVENUE  
NEW YORK, NEW YORK 10151  
TEL: (212) 588-0800  
FAX: (212) 588-0500

Date: April 7, 1999

Attorney Docket No.: 450100-3689.1

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the name(s) of: Ryuji Ishiguro and Masafumi Minami

entitled: ENCRYPTING METHOD AND APPARATUS, RECORDING METHOD, DECRYPTING METHOD AND APPARATUS, AND RECORDING MEDIUM

☒ Continuing Application

☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)  
of prior application Serial No. 08/721,310, filed October 15, 1996.

[Note: If priority under 35 U.S.C. 120 involves a series of respectively copending applications, then in this amendment identify each and its relationship to its immediate predecessor.]

☒ The prior application is assigned of record to Sony Corporation.

☐ This is an application of a small entity under 37 CFR 1.9(f) and the amounts shown in parentheses below have been employed in calculating the fee:

- ☐ Small Entity Verified Statement(s) is (are) enclosed.
- ☐ Small Entity Verified Statement(s) filed in prior application, status still proper and desired

The following are enclosed:

- ☒ Specification (54 pages)
- ☒ 14 Sheet(s) of Drawings
- ☒ 37 Claim(s) (including 15 independent claim(s))
- ☐ This application contains a multiple dependent claim

☒ Our check for \$2,002.00, calculated on the basis of the claims as amended by any enclosed preliminary amendment as follows:

Basic Fee, \$760.00 (\$380.00)	\$ 760.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each:	306.00
Number of Independent Claims in excess of 3 at \$78.00 (\$39.00) each:	936.00
Multiple Dependent Claim Fee at \$260.00 (\$130.00)	
Total Filing Fee	\$2,002.00
Assignment Recording Fee \$40.00	

☐ This application is being filed within the month following the expiration of the term originally set therefor in the prior application. This is a petition to request a -month extension of time. A check covering the cost of the petition is enclosed.

Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

450100-3689.1

X Oath or Declaration and Power of Attorney

     New      signed      unsigned

X Copy from a prior application (37 C.F.R. 1.63(d))

Deletion of Inventors

     Signed Statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))

Power of Attorney or Correspondence Address Change

X Power of attorney and/or correspondence address was changed during prosecution of the prior application. The new power of attorney is to William S. Frommer, Reg. No. 25,506. The new correspondence address is indicated above.

X Incorporation by Reference (for continuation or divisional application) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

X A Preliminary Amendment is enclosed.  
(Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

X Cancel in this application original claims 2-59 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

     New formal drawings are enclosed.

X Certified copy of each foreign priority application on which the claim for priority under 35 U.S.C. 119 is based was filed in prior U.S. application Serial No. 08/721,310, filed October 15, 1996. A list of said foreign priority application(s) is (are) provided below. Acknowledgement thereof is requested.

Application No.

Filed

In

07-267262

October 16, 1995

Japan

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant(s)

By: William S. Frommer  
William S. Frommer  
Reg. No. 25,506

450100-3689.



"Express Mail" mailing label number EM009638395US

Date of Deposit April 7, 1999

I hereby certify that the papers constituting this patent application are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Charles Jackson

(Typed or printed name of person mailing paper or fee)

Charles Jackson

(Signature of person mailing paper or fee)

SONY\3689\36891.EXPM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ryuji Ishiguro et al.  
Serial No. : Continuation of Serial No. 08/721,310  
For : ENCRYPTING METHOD AND APPARATUS,  
RECORDING METHOD, DECRYPTING  
METHOD AND APPARATUS, AND RECORDING  
MEDIUM  
Filed : Herewith  
Examiner : P. M. Laufer  
Art Unit : 2766

745 Fifth Avenue  
New York, NY 10151

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Date of Deposit: April 7, 1999

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Charles Jackson  
(Typed or printed name of person mailing paper or fee)

Charles Jackson  
(Signature of person mailing paper or fee)

REQUEST FOR APPROVAL OF DRAWING CHANGE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The approval of the Examiner for the following corrections, indicated in red ink on the attached copies of Figs. 1, 3, 6, 7, 9-12 and 14 is requested:

In Fig. 1, element 2, please delete "Information".

In Fig. 1, element 12, please change "Mater" to --Master--.

In Fig. 3, step S2, please change "deteremined" to --determined--.

In Fig. 6, step S12, please change "detrermined" to --determined--.

In Fig. 7, element 2, please delete "Information".

In Fig. 7, element 12, please change "Mater" to --Master--.

In Fig. 9, step S32, please change "detrermined" to --determined--.

In Fig. 10, element 2, please delete "Information".

In Fig. 10, element 12, please change "Mater" to --Master--.

In Fig. 11, step S44, please change "encrypted" to --encrypted--.

In Fig. 12, step S12, please change "decypted" to --decrypted--.

In Fig. 14, element 2, please delete "Information".

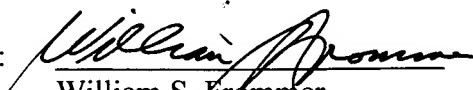
In Fig. 14, element 12, please change "Mater" to --Master--.

Upon allowance of the application, the above-noted drawing changes will be incorporated in new formal drawings of Figs. 1, 3, 6, 7, 9-12 and 14.

Kindly charge any costs related to this drawing change to Deposit Account No.

50-0320.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By:   
William S. Frommer  
Reg. No. 25,506  
(212) 588-0800